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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scott A. Waldman

Application No.: 10/621,684

Filing Date: July 17, 2003

For: ST RECEPTOR BINDING COMPOUNDS AND METHODS OF USING THE SAME

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: Aug. 18, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Elizabeth A. McLoud

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached;
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- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.
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- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

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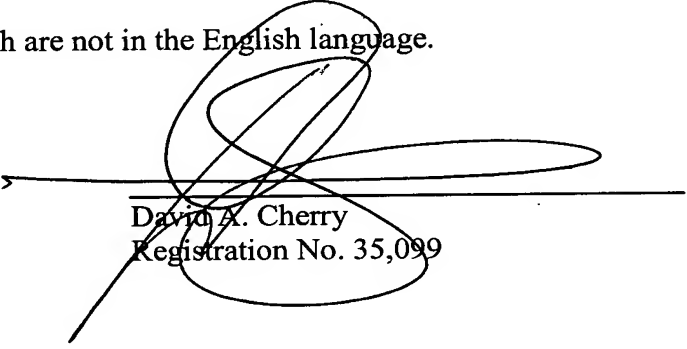
- ☒ In view of the voluminous nature of reference 4, and the likelihood that this reference is available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☒ Copies of references **1-55 and 91** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/141,892**, filed **October 26, 1993** now **U.S. Patent No. 5,518,888**; copies of references **56-59 and 92-97** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/305,056**, filed **September 13, 1994** now **U.S. Patent No. 5,601,990**; copies of references **60-84, 90, 98-110 and 133** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/468,449**, filed **June 6, 1995**; copies of references **85-89** listed on the attached Form PTO-1449 were previously cited by

or submitted to the Patent and Trademark Office in prior Application No. 08/467,920, filed June 6, 1995 now U.S. Patent No. 5,962,220; copies of references 111-132 listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. 08/583,447, filed January 5, 1996.

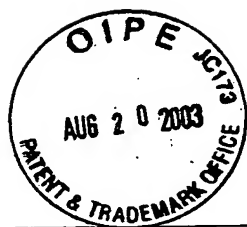
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- ☐ The relevance of those listed references which are not in the English language is as follows:
- ☒ There are no listed references which are not in the English language.

Date: 8/18/03


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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. TJU-2858	Application No. 10/621,684
	Applicant Scott A. Waldman	
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	Confirmation No. Not Yet Assigned	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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* A copy of this reference will not be forwarded to the U.S. Patent and Trademark Office since it is believed to be too voluminous and easily obtainable by the Examiner.



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EXAMINER		DATE CONSIDERED	



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				Applicant Scott A. Waldman			
				Filing Date July 17, 2003		Group Not Yet Assigned	
				Confirmation No. Not Yet Assigned			
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	91	5,160,723	11/3/92	Welt et al.	424	1.1	
	92	4,584,268	04/1986	Ceriani et al.	436	504	
	93	4,683,195	07/28/87	Mullis et al.	435	6	
	94	4,683,202	07/28/87	Mullis et al.	435	91	
	95	4,965,188	10/23/90	Mullis et al.	435	6	
	96	5,075,216	12/24/91	Innis et al.	435	6	
	97	5,237,051	8/17/93	Garbers et al.	530	350	
	98	5,518,888	5/21/96	Waldman	435	7.23	
	99	5,601,990	02/1997	Waldman	435	7.23	
	100	4,845,200	07/1989	Cullinan, et al.	530	391	
	101	5,057,313	10/1991	Shih, et al.	424	85.91	
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	103	4,411,888	10/1983	Klipstein, et al.	424	92	
	104	4,499,080	02/1985	Duflot, et al.	514	12	
	105	6,268,159	07/2001	Waldman	435	7.23	
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U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	106	6,060,037	05/2000	Waldman	424	1.65	
	107	4,431,763	07/28/82	Zygraich			
	108	5,593,825	01/14/97	Carmen, et al.			
	109	5,443,816	08/22/95	Zamora, et al.	424	1.69	
	110	4,659,666	04/21/87	May, et al.	435	188	
	111	4,867,973	09/1989	Goers, et al.	424	85.91	
	112	4,963,263	10/1990	Kauvar	210	635	
	113	5,000,935	03/1991	Faulk	424	1.69	
	114	5,087,616	02/1992	Myers, et al.	514	21	
	115	5,133,886	07/1992	Kauvar	210	635	
	116	5,143,854	09/1992	Pirrung, et al.	436	518	
	117	5,217,869	06/1993	Kauvar	435	7.9	
	118	5,221,736	06/1993	Collidge, et al.	536	25.31	
	119	5,223,409	06/1993	Ladner, et al.	435	69.7	
	120	5,252,743	10/1993	Barrett, et al.	548	303.7	
	121	5,270,170	12/1993	Schatz, et al.	435	7.37	
	122	5,288,514	02/1994	Ellman	427	2	
	123	5,324,483	06/1994	Cody, et al.	422	131	
EXAMINER				DATE CONSIDERED			



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	Applicant Scott A. Waldman	
	Filing Date July 17, 2003	Group Not Yet Assigned
	Confirmation No. Not Yet Assigned	

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	125	5,340,474	08/1994	Kauvar	210	198.2
	126	5,366,382	11/1994	Venton, et al.	435	7.1
	127	5,384,261	01/1995	Winkler, et al.	436	518
	128	5,395,750	03/1995	Dillon, et al.	435	5
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	130	5,412,087	05/1995	McGall, et al.	536	24.3
	131	5,420,328	05/1995	Campbell	558	110
	132	5,424,186	06/1995	Fodor, et al.	435	110

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